

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Box ISSUE FEE**  
**Commissioner for Patents**  
**Washington, D.C. 20231**  
**Fax (703)746-4000**

**INSTRUCTIONS:** This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

**CURRENT CORRESPONDENCE ADDRESS** (Note: Legibly mark-up with any corrections or use Block 1)

7590

10/16/2002

Y Rocky Tsao  
 Fish & Richardson  
 225 Franklin Street  
 Boston, MA 02110-2804

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission**

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

Diane M. Saturno (Depositor's name)  
 Diane M. Saturno (Signature)  
 December 16, 2002 (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/762,536	02/08/2001	Shinzo Yasuda	08917-067001	5708

**TITLE OF INVENTION:** POLYSUCCINIMIDE(CO) POLYMER DERIVATIVE AND PROCESS FOR PRODUCTION THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1280	\$0	\$1280	11/27/2002

EXAMINER	ART UNIT	CLASS-SUBCLASS
HAMPTON HIGHTOWER, PATRICIA	1711	528-328000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Fish & Richardson P.C.

2

3

**3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)**

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Nippon Shokubai Co., Ltd.

Osaka, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent)

☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☐ Publication Fee

☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorized Signature)

(Date)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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12/23/2002 LWONDIM2 00000153 09762536

01 FC:1501

02 FC:8001

1280.00 DP  
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TRANSMIT THIS FORM WITH FEE(S)



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shinzo Yasuda et al.  
Serial No. : 09/762,536  
Filed : February 8, 2001

Art Unit : 1711  
Examiner : P. Hampton Hightower  
Confirmation No.: 5708  
Notice of Allowance Date: October 16, 2002

Title : POLYSUCCINIMIDE (CO)POLYMER DERIVATIVE AND PROCESS FOR PRODUCTION THEREOF

**BOX ISSUE FEE**

Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE OF ALLOWANCE AND**  
**CORRECTION OF APPLICATION TITLE**

In response to the Notice of Allowance mailed October 16, 2002, enclosed are a completed issue fee transmittal form PTOL-85b (the indicated due date 11/17/2002 is in error), and a check for \$1310 for the required fee, including patent copies. Applicants respectfully request that the title of the application as shown in the Notice of Allowance be corrected. More specifically, the title should read – POLYSUCCINIMIDE (CO)POLYMER DERIVATIVE AND PROCESS FOR PRODUCTION THEREOF --. A copy of the previously filed Combined Declaration and Power of Attorney document is enclosed to show that the error was made by the Office.

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: 12-16-02

Y. Rocky Tsao  
Y. Rocky Tsao  
Reg. No. 34,053

Fish & Richardson P.C.  
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Boston, Massachusetts 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906  
20549325.doc

**Match and Return**

## CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

December 16, 2002  
Date of Deposit  
Diane M. Saturno  
Signature  
Diane M. Saturno  
Typed or Printed Name of Person Signing Certificate

**Match and Return**



# COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and solo inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled POLYSUCCINIMIDE (CO)POLYMER DERIVATIVE AND PROCESS FOR PRODUCTION THEREOF the specification of which

\_\_\_\_\_ is attached hereto  
\_\_\_\_\_ was filed on \_\_\_\_\_  
as Application Serial No. \_\_\_\_\_ and was amended on \_\_\_\_\_  
X was described and claimed in PCT International Application No. PCT/JP00/03664  
filed on June 6, 2000 and as amended under PCT Article 19, on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information I know to be material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United State of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed:

COUNTRY	APPLICATION NO.	FILING DATE	PRIORITY CLAIMED
<u>Japan</u>	<u>11-162397</u>	<u>June 9, 1999</u>	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. SERIAL NO.	FILING DATE	STATUS
<u>PCT/JP00/03664</u>	<u>June 6, 2000</u>	<input checked="" type="checkbox"/> Pending <input type="checkbox"/> Issued <input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: Y. Rocky Tsao, Reg. 34,053; Eldora L. Elliott, Reg. 39,967; John F. Hayden, Reg. 37,640; David E. Johnson, Reg. 41,874; John T. Li, Reg. P-44,210; Frank R. Occubinti, Reg. 35,306; Eric L. Pahl, Reg. 32,590; and Gary A. Walpert, Reg. 26,098.

Address all telephone calls to Y. Rocky Tsao at telephone number (617)542-5070.

Address all correspondence to Y. Rocky Tsao, Fish & Richardson P.C., 225 Franklin Street, Boston, MA 02110-2804.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

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